## Definites and DOM in Romanian. An experimental study\*

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#### 1. Introduction: DOM in Romanian, general remarks

## 1.1 Types of DOM and formal means

- (i) General DOM in all positions
  - formal means: the preposition pe, +/- clitic doubling (ClD)
  - => subtypes depending on ClD: +obligatory ClD, +optional ClD, no ClD
- (ii) Preverbal DOM only for preverbal objects
  - formal means: ClD
  - the type of fronting (wh-, focalization, topicalization) makes no difference => it is a DOM-type phenomenon
  - sensitive only to definiteness and specificity (mostly partitive);
     animacy is irrelevant
  - the rule is simple: any +def or +spec prev. O must be ClD-ed

In this talk we <u>only discuss general DOM</u>, which is a highly complex phenomenon. The rule of preverbal DOM is much simpler: when it comes to definites, *all* need ClD.

#### 1.2 Types of general DOM and triggering features

- (1) Triggers for *pe*-marking:
  - (a) +human/animate
  - (b) 'specificity-related':
    - (b.1) +proper-name
    - (b.2) + definite
    - (b.3) +specific/partitive
  - (c) 'pronominality-related':
    - (c.1) +N-ellipsis (nominal anaphora)
    - (c.2) +non-elliptical pronoun (NEP) = incorporated n or  $[N\emptyset]_{non-anaph}$  (analyzable as an intransitive n); no N-ellipsis

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#### Rough generalizations<sup>1</sup>:

#### (2) **Obligatory DOM**:

- (i) (a)+(b.1) animate proper names \*(L)-a invitat \*(pe) Ion '(S)he invited Ion'
- (ii) (b.2/!3)+(c.1) definite DPs with N ellipsis + some part. indef. with N ellipsis, even inanimate<sup>2</sup>
  - \*(L)-am citit \*(pe) acesta/primul/al tău/cel nou/fiecare +ClD 'I read this one/the first one/yours/the new one/each one'
- (iii) (a)+(c.2) human NEP (irrespective of definiteness)

[context: no antecedent for the missing N]

%(L-)am văzut \*(pe) unul în curte / \*(l)-am văzut \*(pe) el 3MS.ACC-have.1 seen DOM one.MS in yard CL-have.1 seen DOM him 'I saw somebody in the yard' 'I saw him'

- no gender and no specificity => \*ClD: (\*L)-am văzut pe cineva în curte (3MS.ACC)-have.1 seen DOM somebody in yard 'I saw somebody in the yard'

#### (3) **Optional DOM**:

- (i) (a)+(b.2) definite/ human/animate DPs with overt common N +ClD L-am invitat pe profesor / Am invitat profesorul 'I invited the teacher'
- (ii) (a)+(b.3) specific indefinite human/animate DPs with overt N opt. CID %(L)-am invitat pe un profesor / Am invitat un profesor 'I invited a teacher'
- (iii) (b.3)+(c.1) specific/partitive indefinite inanimate DPs with N-ellipsis opt. ClD Dintre cărți, le-am citit pe câteva / am citit câteva 'I read some of the books'

#### On clitic doubling

- (4) ClD in general DOM is contingent on pe-marking (ClD  $\rightarrow$  pe)
- (5) No (b) among the triggers and no  $[N\emptyset]$  (=> no gender) => no ClD (see (2)(iii)  $2^{nd}$  ex.)
- (6) ClD obligatory for personal pronouns in modern Romanian; for other *pe*-marked definite or specific objects, it has been on the rise, gradually becoming obligatory

<sup>1</sup> For these generalizations, see Farkas (1987), Dobrovie-Sorin (1994), Cornilescu (2000), Tigău (2011, 2014, 2017, 2021), Pană-Dindelegan (2013), Cornilescu & Tigău (2022), Irimia (2020a,b,c, 2023), a.o.

<sup>&</sup>lt;sup>2</sup> This includes inanimate  $3^{rd}$  person pronouns (e.g. *pe ele* 'DOM them.FEM' standing for *cărțile* 'the books(FEM)'), under an analysis of  $3^{rd}$  person pronouns with grammatical gender as  $D+[N\emptyset]_{anaph}$  (see Giurgea (2010), Giurgea & Ivan (2023) for Romanian). For other inanimate DPs with N ellipsis, DOM is sometimes optional, for some speakers.

In the process of replacing optional ClD by obligatory ClD, proper names are ahead of common nouns, and definites seem to be ahead of indefinites:

- (7) a. \*(L-)am invitat pe {profesor/Ion} (a-b: personal judgments)
  3MS.ACC-have.1 invited DOM teacher/ Ion
  'I invited {the teacher/Ion}.'
  - b. ?(L-) am invitat pe un coleg. 3MS.ACC-have.1 invited DOM a colleague 'I invited a colleague.'
  - c. \*(L)-am întâlnit pe vărul tău. (Dobrovie-Sorin 1987:32, ex.1.18b) 3MS.ACC-have.1 invited DOM cousin your 'I met your cousin'

N.B. in (7)a is definite; the absence of the article is due to the so-called article-drop rule, by which the suffixal definite article is dropped after most accusative-taking prepositions if the maximal projection of N consists only of  $D_{def}$  and the lexical N (see Dobrovie-Sorin 2007, Giurgea 2022)

In our experiments, in the production experiment (using translations) we only found sporadic instances of DOM of definites without ClD: 6 overall, produced by 4 informants out of 53 (2 informants produced 2 instances each)

This evolution is a simplification of the system:

- optional ClD with *pe*-marked disappears (=> 2 types instead of 3: oblig. ClD; impos. ClD)
- the conditions for ClD with general DOM are the same as those for preverbal DOM (def./spec.), modulo the requirement that the object should be *pe*-marked
- => in our experiments (which were about DOM of *definites*), we only used ClD in the Romanian DOM-examples

#### Further generalizations

#### (i) Features of the object triggering absence of DOM:

As the specificity involved in optional DOM is sometimes quite elusive (see Cornilescu 2000, Tigău 2014, Tigău & von Heusinger 2019), it might be easier to say when *pe*-marking is impossible (cf. Cornilescu 2000, Tigău 2011):

- (8) Absence of DOM triggered by
  - a. property-denotation (=> bare nouns; objects of *have*+relational nouns) (\*Îi) invită (\*pe) colegi noi '(S)he's inviting new colleagues'
  - b. DPs (including human definites) associated to a dative external possessor \$\iiii \text{i-a} & adus & sora & /\*\iiii \text{i-a} & adus(-o) & pe & sor\text{a} \\
    3\text{REFL.DAT-has brought sister-the 3REFL.DAT-has brought(-3FS.ACC) (DOM) sister '(S)he brought his/her sister.'

- (ii) Syntactic configurations triggering DOM:
- verbs with accusative quirky subjects:
- (9) \*(Îl) dor picioarele \*(pe) un copil 'A child's feet hurt' 3MS.ACC hurt.3P feet-the DOM a child
- elliptical *ca*-comparatives (see Pană-Dindelegan 2013:131, Irimia 2018)
- (10) Bea vinul ca pe apă / ca apa '(S)he drinks wine like water'

# 2. DOM with definite DPs with overt human/animate common nouns: previous generalizations

The generalization in (3)(i), according to which DOM is optional here, is course-grained. Finer distinctions (sub-types) => sometimes DOM is highly preferred, even obligatory, sometimes is impossible

- ➤ Generic definites: DOM impossible (Hill & Tasmowski 2008) or dispreferred, but sometimes possible (Cornilescu 2000, Croitor & Giurgea 2023)
- ➤ Weak definites: DOM impossible (Cornilescu & Tigău 2022, Croitor & Giurgea 2023)
- ➤ More generally, DOM impossible with definites that lack the presupposition of existence (Croitor & Giurgea 2023, Giurgea 2023)
- ➤ Anaphoric definites: DOM preferred or maybe even obligatory (Croitor & Giurgea 2023, Giurgea 2023)
- ➤ DPs with possessors that would require DOM if they were in object position: DOM obligatory (Croitor & Giurgea 2023, Giurgea 2023)

We tested these generalizations by means of three different experiments

#### 3. The design of the experiments

#### 3.1 Experiment 1: Definites and DOM in translation

Experiment 1 included several items, which the respondents were required to translate from English into Romanian.

#### 3.1.1 Experimental items

Several configurations were tested:

- a) 4 items with DOs containing non-specific indefinite possessors
- e.g. We should also invite the director of some research institute.
- b) 8 items with DOs containing specific/definite possessors: 1 specific indef., 7 def., out of which:
- 1 with a possessor that would require DOM in object position:

They called John's father, but he didn't answer

- 3 with clear or possible (epistemic or scopal) non-specificity ('attributive reading')
- e.g. They still didn't find the perpetrators of the robbery
  In such occasions, you should also inform the director of the institution
- 3 with specific DOs (and possessors that do not require DOM in object position)
- e.g. They also invited the president's wife
- c) 4 items with weak definites as DOs
- e.g. We cannot fix it ourselves, we have to call the plumber.
- d) 4 items with other DOs that may exhibit an attributive reading (3 unmodified, 1 with a relative clause)
- e.g. We should inform the manager.
- e) 4 items with anaphoric definites as DOs
- e.g. Among the guests, there was <u>a politician</u> and several journalists. I asked **the politician** if he supported the tax increase proposals.
- f) 4 items with unmodified familiar definites as DOs
- e.g. I left the children at home.
- g) 4 items with generic definites as DOs
- e.g. I came to hate taxi drivers.

**Total:** 32 experimental items

The experimental items were evenly distributed across 2 lists in such a way that each item only appeared in one of the lists. The two lists thus contained 16 experimental items each.

#### 3.1.2 Fillers

• 9 fillers were added to each list, probing mainly for the way in which DPs with various degrees of accessibility in the context being resumed in continuation sentences get translated by the Romanian respondents. In the Romanian translations, one would expect either explicit pronouns or null pronouns to be resorted to:

e.g. However, I could not agree with their views on Vianu. He did not make so many compromises.

e.g. I met **George** at the party. **He** told me about the dispute between James and Gregory.

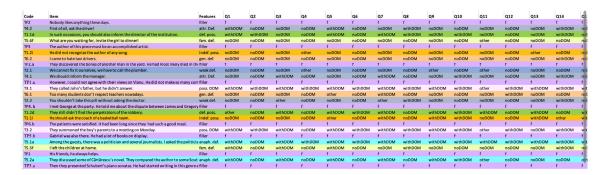
• The lists were formatted as Google forms in such a way that the respondents could only see one item at a time.

## 3.1.3 Participants

- 53 (24 + 29) native speakers of Romanian, students of the University of Bucharest, participated in the experiment for course credit.
- The respondents had to provide a translation for each of the items enclosed in the lists
- Each respondent could only fill in one of the lists

#### 3.1.4 Analysis and annotation

- For each translated item we annotated the use of DOM on the DO employed in the translation, or the lack thereof.
- In some translated sentences, the respondents did not use a Romanian DO variant for the English DO correspondent but resorted to other syntactic solutions (e.g., indirect objects, prepositional objects, omissions, passivizations etc.). We included these renditions into the category 'other'
- We calculated percentages only with respect to the total number of translations that used a DO



## 3.2 Experiment 2: Acceptability of continuations

The main aim of Experiment 2 was to check the anaphoric potential of DOM-ed vs. non-DOMed definites, therefore we tested the acceptability of variants offered as continuations of a given sentence.

We also tested various types of non-anaphoric DOMs and DOs containing possessors that would/would not require DOM when occurring as DOs

### 3.2.1 Experimental items

Each experimental item included two parts: the first part set up the context and introduced a salient event or participant. The second part consisted of two possible ways, in which the context could be continued (in the experimental items and in some of the fillers, one continuation sentence featured a non-DOM-ed DO and the other, a DOM-ed DO).

- a) **8 experimental items**: the definite DP used in the continuation sentences was anaphoric, clearly referring back to a salient DP in the preceding sentence (see 11); the variants were DOM+ClD and NO-DOM
- b) **16 experimental items**: the continuations had a DO that did not resume a referent of the first sentence; the variants were DOM+ClD and NO-DOM; 4 items contained definite DOs with possessors, the other 11 contained other various types of definite DOs (familiar, with a likely attributive reading, associative anaphora etc.)

The respondents had to select the most appropriate of the two continuations. Consider a sample:

(11) **Senatorul Fenechiu** a făcut mai multe propuneri legislative. Senator.the Fenechiu has made more many proposals legislative 'Senator Fenechiu made several legislative proposals.'

#### Continuation:

- a) Jurnalistii l-au criticat **pe politician**. Journalists the him.cl-have criticized DOM politician. 'The journalists criticized the politician.'
- b) Jurnalistii au criticat **politicianul**. Journalists.the have criticized politician.the 'The journalists criticized the politician.'

Task 1: Which of the two continuations is more suitable:

- a) Continuation a
- b) Continuation b
- c) Both continuations are suitable

Task 2: Do you think that any of the continuation sentences is impossible?

- a) Yes, continuation a is impossible
- b) Yes, continuation b is impossible
- c) Both continuation sentences are impossible

(12) Acolo e o maşină. Farurile sunt aprinse there is a car headlights-the are on

#### Continuation:

- a) dar nu văd şoferul. but not see.1s driver.the 'but I don't see the driver.'
- b) dar nu îl văd pe şofer. but not him.cl see.1s DOM driver.the 'but I don't see the driver.'

The 24 experimental items were evenly distributed across two lists in such a way that each item only appeared in one of the lists. Each list contained 12 experimental items.

#### 3.2.2 Fillers

We employed 16 fillers built along the same pattern as the experimental items, with a context sentence and two possible continuations to choose from:

(13) N-am aflat nimic fiindcă Ana nu mi-a răspuns.

Not-have.1 found out nothing because Ana not me.DAT-has answered

'I didn't find out anything because Ana did give me an answer.'

#### Continuation:

- a) Atunci, am sunat-o pe Maria. Then have.1 called-her.CL DOM Mary 'I called Mary then.'
- b) Atunci, am sunat pe Maria.
  Then have.1 called-her.CL DOM Mary
  'I called Mary then.'

The lists were randomized and formatted as Google forms in such a way that the respondents could only see one item at a time.

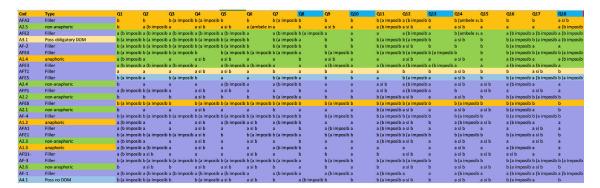
- Some fillers were clearly acceptable or clearly unacceptable (=> this allowed us to eliminate some respondents from our analysis)
- Some fillers tested other issues regarding DOM of definites (not discussed here): DPs with N-ellipsis

#### 3.2.3 Participants

- 50 native speakers of Romanian (mostly students of the University of Bucharest) took part in the experiment for course credit.
- Each respondent was allowed to only fill in one of the two lists

#### 3.2.4 Analysis and annotation

• For each experimental item we annotated the variants chosen as best continuations and also specified if the respondents had found any of the continuations to be impossible



## 3.3 Experiment 3 – Acceptability (of a single sentence, without continuations)

This experiment probed for the acceptability of several experimental items including either a DOMed definite or its non-DOMed counterpart.

## 3.3.1 Experimental items

The experimental items contained several types of definites: DPs with possessors (7 items), non-specific definites modified by a relative clause containing a subjunctive (4), non-specific indefinites modified by a relative clause containing a subjunctive (4), non-specific definite DO in the scope of a modal (1 item).

Each type of experimental item contained two variants: one containing a DOMed DP, another one featuring the non-DOM correspondent. Thus, the total number of experimental items was 34:

- e.g.
- (14) a. Vom întreba părinții lui. (Poss. that would require DOM)
  - Will.we ask parents.the his
  - 'We will ask his parents.'
  - b. Îi vom întreba pe părinții lui
    - Them.cl will.we ask DOM parents.the his
    - 'We will ask his parents.'

- (15) a. Nu am întâlnit încă politicianul care să fie
  Not have.I met yet politician.the who SUBJ be
  şi cinstit şi bogat. (Def Subj-Rel)
  and honest and rich
  'I haven't yet met the politician who should be both honest and rich'
  - b. Nu l-am întâlnit încă pe politicianul care să fie Not him.cl-have.I met yet DOM politician.the who SUBJ be și cinstit și bogat.

and honest and rich

- 'I haven't yet met the politician who should be both honest and rich'
- (16) a. Nu am întâlnit încă un politician care să fie

  Not have.I met yet a politician who SUBJ be

  și cinstit și bogat. (Indef Subj-Rel)

  and honest and rich

'I haven't yet met a politician who should be both honest and rich'

b. Nu l-am întâlnit încă pe un politician
Not him.cl-have.I met yet DOM a politician
care să fie și cinstit și bogat.
who SUBJ be and honest and rich
'I haven't yet met a politician who should be both honest and rich'

Respondents had to assess the experimental items on a scale of 4 degrees of acceptability:

- a) Acceptable
- b) Almost acceptable
- c) Almost unacceptable
- d) Unacceptable

The experimental items were evenly distributed across 2 lists in such a way that each item only appeared in one of the lists. The two lists thus contained 16 experimental items each.

#### 3.3.2 Fillers

To each list we added 20 fillers, divided into 5 unacceptable sentences, 5 acceptable sentences and 6 sentences of average acceptability + 4 tested for a different study: demonstratives in bridging + covariation contexts (4):

- (17) De câte ori văd un film bun, verific acel regizor pe internet. (Anaph. Dem) Whenever see. I a film good, check. I that director on internet 'Whenever I watch a good movie, I check that director on the internet.'
- (18) De câte ori văd un film bun, îl verific pe acel regizor
  Whenever see.I a film good, him.cl check.I DOM that director
  pe internet. (Anaph. DOMed Dem)

on internet

'Whenever I watch a good movie, I check that director on the internet.'

Ex. of unacceptable sentence as a filler:

(19) Poezia aceasta i-am învățat-o deja pe copii.
Poem.the this them.CL.DAT-have.I taught-it.CL.FEM already DOM children
'This poem, I have already taught it to him the children.'

## 3.3.3 Participants

- 40 Romanian native speakers took part in the experiment, mostly students of the University of Bucharest
- Each respondent could only fill one of the two lists
- The respondents could only see one item at a time

## 3.3.4 Analysis and annotation

We assigned acceptability scores ranging from 1 to 4, where 1 corresponded to the label *unacceptable*, 2 = almost unacceptable, 3= almost acceptable, 4 = acceptable



## 4. DOM and presupposition of existence

## 4.1. A semantic requirement: from specificity to presupposition of existence

➤ Optional DOM has usually been correlated to specificity (Farkas 1987, Dobrovie-Sorin 1994, Tigău 2010)

- > Various notions of specificity: scopal, epistemic, partitive (see Farkas 1994, 2002)
- For DOM with indefinites, the relevant notions appear to be *epistemic* and *partitive* specificity (see Cornilescu 2000, Tigău 2011, 2014, a.o.); scopal specificity obtains sometimes but may be a by-product of epistemic specificity
- > Presupposition of existence may be the common feature of these two specificity flavors
- N.B. Although definites normally introduce *iota* and thus carry a presupposition of existence (see e.g. Elbourne 2005, 2013, Schwarz 2009), there are instances of DPs that are at least formally definite but do not carry a presupposition of existence see Coppock & Beaver's (2012, 2015): 'indeterminate definites' if P is instantiated, it is uniquely instantiated; but instantiation is not presupposed.
- (N.B. for plural and mass terms, this requires the application of a MAX operator, otherwise the nominal property P will never have a singleton in its extension)

Giurgea (2023), Croitor & Giurgea (2023): presup. of existence is a necessary condition for DOM of definites:

- (i) internal *same* and attributive *only* (Ro. *singur*) taking sentential scope, in which case they can fall in the scope of negation:
- (20) a. Nu au folosit(??-o pe) aceeași balerină pentru cele două roluri. not have.3P used (3FS.ACC DOM) same ballerina for the two roles 'They didn't use the same ballerina for the two roles'
  - b. Nu (\*îl) are (\*pe) singurul copil cu probleme.
    not (3MS.ACC) has DOM only-the child with problems
    '(S)he doesn't have the only child with problems' (= '(S)he's not the only one to have a child with problems.')
- (ii) DPs with "relative" superlatives known to have indefinite semantics (cf. Szabolcsi 1986, Heim 1999):
- (21) Cine (\*îl) are (\*pe) tatăl cel mai bogat? who (3MS.ACC) has (DOM) father-the SUP COMP rich 'Who has the richest father?'
- (iii) definites including possessors whose existence is not presupposed:
- (22) Ar mai trebui să-??#(1) invităm şi ??#(pe) directorul unui institut would still must SUBJ-3MS.ACC invite.1P also DOM director-the a.GEN institute de cercetare. (with a non-specific reading) of research
  - 'We should also invite the director of some research institute.'
- (iv) Definites in the scope of intensional verbs such as 'seek, look for', 'find':
- (23) N-am găsit-(\*o) încă (\*pe) {cea mai potrivită persoană / not-have.1 found(-3FS.ACC) yet (DOM) SUPDEF.FS COMP appropriate person(F) /

persoana cea mai potrivită}.
person-the SUP.FS COMP appropriate
'We haven't found the most suitable person yet.'

Here the superlative is absolute, so the DP should be definite; but the existence of the entity only holds at worlds introduced in the VP headed by 'find', scoping below negation See also definites with subjunctive relative clauses:

- (24) N-am găsit-(\*o) încă (\*pe) persoana care să îndrăznească să not-have.1 found(-3FS.ACC) yet (DOM) person-the who SBJV dares SBJV i se opună.

  him.DAT REFL opposes
  'We still haven't found the person who may dare to oppose him.'
- (25) Nu \*(l-)am întâlnit încă \*(pe) politicianul care să fie și cinstit și bogat. not 3MS.ACC-have.1 met yet DOM politician-the who SBJV be and honest and rich 'I haven't met the politician who should be both honest and rich yet' (Tigău 2010:(26))
- (v) Weak definites  $\rightarrow$  see section 5

#### 4.2. The results of the experiments

## The acceptability experiment:

(26) N-am găsit-o încă pe persoana cea mai potrivită 2.02 (34%) not-have.1 found-3FS.ACC yet DOM person-the SUP more suitable 'We haven't found the most suitable person yet.'

This example is not good but still scores higher than some ungrammatical control examples:

- clitic doubling of a secondary accusative object: 5%
- number mismatch in an anaphoric pronoun: 9%,12%

Examples with subjunctive relative clauses:

- (27) a. N-am găsit-o încă pe secretara care să facă și cafea bună și not-have.1 found-3FS.ACC yet DOM secretary-the who SUBJ does also coffee good and să știe și două limbi străine.
  - SUBJ knows also two foreign languages
  - 'We/I haven't yet found the secretary who should make good coffee and also know two foreign languages'
  - b. Nu l-am întâlnit încă pe politicianul care să fie și cinstit și bogat.
    - 'We/I haven't yet found the politician who should be both honest and rich.'
  - c. Încă îl caut pe șoferul care să mă ducă la Brașov sâmbătă.
    - 'I'm still looking for the driver who should give me a ride to Braşov.'
  - d. N-am găsit-o încă pe secretara care să știe Excel.
    - 'We/I haven't yet found the secretary who should know Excel.'

We also tested these examples without DOM and with indefinites +/-DOM ('We haven't yet found a secretary who should make good coffee and also know to foreign languages', etc.)

Table I: DPs with subjunctive relative clauses (acceptability)

	def+DOM(+ClD)	def-DOM	indef+DOM-ClD	indef+DOM+ClD	indef-DOM
a	74%	84%	28%	22%	72%
b	68%	86%	40%	19%	82%
c	68%	84%	35%	21%	100%
d	48%	67%	21%	23%	81%
mean	65%	80%	31%	21%	84%

Possible reason for the higher acceptability of definites +DOM, as opposed to indefinites: definiteness here may trigger a type interpretation: 'that kind of secretary who...'; these kinds are taken to exist, even if in an ideal world; in any case, their existence is not in the scope of the negation. This may explain why definiteness sounds better if the property is remarkable – see (I)a,b as opposed to d

#### The translation experiment

- We tested examples with indefinite possessors; in calculating the percentages we only consider examples where the translation used a direct object.

Table II: DPs with indefinite possessors

	non-specific possessor (intended)	+DOM (+,-ClD)	-DOM
a	He should ask the coach of a basketball team.	8(38%)	13(62%)
b	He did not recognize the author of any song.	0 (0%)	19(100%)
c	We should also invite the director of some	17 (65%)	9 (35%)
	research institute.	(54%+ClD,7%-ClD)	
d	They interviewed the dean of some faculty.	13 (65%)(57%+Cl,5%-)	7 (35%)
	total	42%	58%
	specific possessor (intended):		
e	They invited the wife of a well-known poet.	17 (57%)	13 (43%)

Here scopal specificity proved to be the most important – see (II)b.

Narrow scope wrt. a modal may explain the difference between (II)a and (II)d, but (II)c is unexpected.

There is however a contrast between these and similar examples with definite possessors:

Table III: DPs with definite possessors

		+DOM	-DOM
a	In such occasions, you should also inform the director of the	11 (48%)	12 (52%)
	institution.	(1:-ClD)	
b	They still didn't find the perpetrators of the robbery.	10 (48%)	11 (52%)
c	We finally found the director of the institute.	18 (64%)	10 (36%)
d	She saw the manager of the company.	20 (80%)	5 (20%)
e	They summoned the boy's parents to a meeting on Monday.	17 (85%)	3 (15%)
f	They also invited the president's wife.	25 (89%)	3 (11%)
	-	(1:-ClD)	
	total	69%	31%

Here we see a significant contrast between (III)a-b and (III)c-f.

(III)a: the object tends to be read as scopally non-specific (narrow scope)

(III)b: the object is clearly epistemically non-specific

(III)c: more instances of -DOM than in (III)d-f possibly because the object in this example may be more easily interpreted as epistemically non-specific

#### 4.3 Weak definites

'Weak definites' (Carlson and Sussman 2005) are new and non-unique/non-maximal, being semantically equivalent to narrow scope indefinites (they have variable reference in various environments, showing narrowest scope); they are arguments involved in prototypical activities => they are restricted to specific lexemes and specific selecting predicates and allow only a restricted number of modifiers (see Carlson and Sussman 2005, Carlson et al. 2006, 2013, Aguilar-Guevara & Zwarts 2010, Aguilar-Guevara 2014, Schwarz 2014, Krifka & Modarresi 2016, Brocher et al. 2020, Krifka 2021).

Giurgea (2023): weak definites disallow DOM (in the relevant interpretation of (28), each of the two persons called a different plumber and there is no plumber among the familiar entities in the context):

(28) Amândoi au chemat instalatorul / #l-au chemat pe instalator. both have called plumber-the 3MS-have.3PL called DOM plumber 'They both called the plumber.'

## The translation experiment:

Table IV: weak definites

		+DOM	-DOM
a	We cannot fix it ourselves, we have to call the plumber	0	19
b	You shouldn't take this pill without asking the doctor	5 (25%)	15 (75%)
c	Did they call the fire fighters?	5 (24%)	16 (76%)
d	I had to visit the dentist as I had a terrible toothache.	0	3
	total	12%	88%

(IV)b-c: maybe some informants conceived the example as about a specific doctor/a specific group of fire fighters

#### 5. Other definites where DOM is dispreferred (in the translation experiment)

## 5.1. Particular epistemically non-specific definites

Table V:

		+DOM	-DOM
a	We should inform the manager.	8 (35%)	15 (65%)
b	First of all, ask the driver!	6 (25%)	18 (75%)
c	We will invite the politician who will get the highest	12 (41%)	17 (59%)
	score in the polls.	(38%+ClD,3%-ClD)	
d	She should have waited for the doctor.	6 (21%)	79(%)
	total	30,5%	69,5%

#### 5.2. Generic definites

#### Table VI:

		+DOM	-DOM
a	Too many students don't respect teachers nowadays.	5 (24%)	16 (76%)
b	I came to hate taxi drivers.	1 (4%)	23 (96%)
c	All the democratic countries elect the president by universal vote.	1 (5%)	21 (95%)
d	This plate represents the Neanderthal man.	2 (7%)	26 (93%)
	total	10%	90%

Obs. For (VI)a and c, some informants chose a construction with the possessive dative, where DOM is independently ruled out (see section 1).

## 6. Anaphoric definites and +/- specific non-anaphoric definites

Croitor & Giurgea (2023), Giurgea (2023):

- DOM is strongly preferred with anaphoric definites:
- (29) a. Am întâlnit acolo <u>un scriitor</u>, un critic și alte persoane. L-am invitat have.1 met there a writer a critic and other people 3MS.ACC-have.1 invited **pe (acel) scriitor** la cină / ? Am invitat **scriitorul (acela)/acel scriitor** la cină. DOM that writer to dinner have.1 invited writer-the (that) that writer to dinner 'I met there a writer, a critic and other people. I asked the writer to dinner.'
  - b. [Senatorul Fenechiu]i a făcut mai multe propuneri legislative. Jurnaliștii senator-the Fenechiu has made several proposal legislative journalists-the {l-au criticat pe politiciani/?? au criticat politicianuli.} 3MS.ACC-have criticized DOM politician have criticized politician-the 'Senator Fenechiu made several legislative proposals. The journalists criticized the politician.'
- When the context allows an epistemically non-specific reading, the unmarked version tends to receive this reading. Thus, (30)b is appropriate in a context where the only reason for inviting that person is him or her being the manager:
- (30) a. Îl vom invita pe director. 3MS.ACC will.1P invite DOM manager

- b. Vom invita directorul. will.1P invite manager-the 'We'll invite the manager.'
- But epistemically specific definites do sometimes allow absence of DOM:
- (31) Am adus fata acasă. have.1 brought girl-the home 'I brought the girl home.'

# The translation experiment

Table VII: anaphoric definites

	(unmodified definites)	+DOM	-DOM
a	Among the guests, there was a politician and several journalists. I	19 (83%)	4 (17%)
	asked the politician if he supported the tax increase proposals.		
b	They discussed some of Cărtărescu's novel. They compared the	8 (44%)	10 (56%)
	author to some South American writers.		
c	It was hard to find tickets at Eminem's concert, because many	13(65%)	7 (35%)
	people appreciate and admire the singer, even though not		
	necessarily his music.		
d	The first to come were Mary and her boyfriend. While I was	7 (25%)	21 (75%)
	leading the guests into the garden, I got a phone call from Alice.		
	total	54%	46%
	total eliminating VII.d (maybe understood as non-anaphoric)	64%	36%

Table VIII: non-anaphoric familiar definites

	Table VIII: non anaphorie familiar definites		
	unmodified definites:	+DOM	-DOM
a	I left the children at home	6 (25%)	18 (75%)
b	What are you waiting for, invite the girl to dinner!	2 (10%)	19 (90%)
c	I brought the girl home	12 (41%)	18 (59%)
d	I'm still waiting for the guests	2 (8%)	24 (92%)
	total	21%	79%
	BUT: the definites with familiar possessors from tables II-III:		
e	She saw the manager of the company	80%	20%
f	They summoned the boy's parents to a meeting on Monday	85%	15%
g	They also invited the president's wife	89%	11%
	total e-g	85%	15%

# The acceptability experiment with continuations

Table IX: anaphoric definites – preferred continuation, impossible continuation

Table IX: <b>anaphoric</b> definites – preferred cor		, imposs	sible con		on
	+DOM	NO	both	*NO	*DOM
(a) Senatorul Fenechiu a făcut mai multe propuneri	78%	4%	18%	28%	0%
legislative. Jurnaliștii {l-au criticat pe politician/ au					
criticat politicianul}					
'Senator Fenechiu made several legislative					
proposals. The journalist criticized the politician'					
(b) Simona Halep a fost audiată în ancheta	67%	7%	26%	32%	0%
referitoare la dopaj. Jurnaliștii {au așteptat-o					
îndelung pe sportivă/au așteptat îndelung sportiva}					
să dea declarații					
'Simona Halep was cross-examined in the doping					
investigation. The journalist waited a long time for					
the sportswoman, to give her statements'					
(c) Jurnalista i-a luat un interviu lui Klaus Johannis.	85%	0%	15%	24%	4%
Între altele, l-a întrebat pe președinte ce părere are					
despre gestionarea fondurilor PNRR					
'The journalist interviewed Klaus Johannis. Among					
other things, she asked the president what he					
thought about the management of the NRDP funds'					
(d) Şoferul maşinii şi câţiva martori dădeau	55%	4%	41%	12%	0%
declarații presei. Apoi, polițiștii {l-au dus pe					
şofer/au dus şoferul} la secție					
'The driver of the car and several witnesses were					
giving statements to the press. Then, the police					
took the driver to the station'					
(e) Câteva legi au fost propuse de un politician din	35%	3%	62%	11%	0%
opoziție. Jurnaliștii {1-au criticat pe politician/au					
criticat politicianul} pentru inițiativă					
'Some laws were proposed by an opposition					
politician. The journalists criticized the politician					
for his initiative,					
(f) Va veni și Ed Sheeran la festival. Se vor vinde	45%	3%	52%	19%	0%
bine biletele, mulți {așteptau/îl așteptau pe} acest					
cântăreţ.					
'Ed Sheeran will also come to the festival. The					
tickets will sell well, many people were waiting for					
this singer'					
(g) L-am ascultat și eu pe Chomsky. Mulți {citesc /	41,5%	17%	41,5%	30%	4%
îl citesc pe} acest lingvist, dar puţini îl înţeleg.	11,570	1,70	11,570	0,0	1,0
'I also listened to Chomsky. Many read this					
linguist, but few understand him'					
Total	58%	6%	36%	22%	1%
10111	30 /0	0 / 0	20/0	44/0	1/0

## Obs.:

- DOM in (IX)e may score lower because the definite object has an indefinite antecedent.
  In (IX)g DOM may score lower because the object of *read* is not actually animate, but refers to the production of a person

Table X: non-anaphoric definites – preferred continuation, impossible continuation

Table X: non-anaphoric definites – preferred co					
(i) familiar, general human terms	+DOM	NO	both	*NO	*DOM
(a) Ce mai aștepți, {Invită fata/Invit-o pe fată} la	26%	37%	37%	12%	0%
cină!					
'What are you waiting for? Invite the girl to dinner'					
(b) Cred că în curând o să înceapă o furtună.	33%	7%	59%	4%	0%
{Cheamă copiii în casă/Cheamă-i pe copii} în casă					
'I think a storm is about to break out. Call the					
children inside!'					
Total	30%	22%	48%	8%	0%
(ii) unique/maximal in a restricted situation,					
based on shared knowledge (names of					
professions)					
(c) De ce sunt atâția jurnaliști strânși la intrarea în	66%	4%	30%	21%	0%
palat? {Aşteaptă președintele/Îl așteaptă pe					
președinte}, care va da o declarație					
'Why are so many journalists gathered at the palace					
entrance? They are waiting for the President, who					
will make a statement'					
(d) De ce sunt echipe de televiziune în fața	56%	0%	44%	12%	0%
hotelului? {Îi așteaptă pe fotbaliști/Așteaptă					
fotbaliştii}, ca să le ia interviuri					
'Why are there TV crews in front of the hotel?					
They are waiting for the football players to					
interview them.'					
(e) Ancheta în cazul de dopaj se extinde. {Îl vor	67%	7%	26%	29%	0%
chema şi pe/Vor chema şi} fostul antrenor la	0770	770	2070	2070	070
audieri					
'The investigation into the doping case is					
expanding. They will also call the former coach to					
the hearings'					
Total	63%	4%	33%	21%	0%
Total clearly familiar	50%	11%	39%	16%	0%
(iii) familiar, but the function is relevant (=>	2070	1170	3370	1070	070
possibly, narrow scope under a modal)					
(f) Acesta e un caz grav, ce ține de securitatea	48%	7%	44%	12%	0%
națională. Trebuiau {să-l informeze pe președinte /	1070	, , ,	1770	12/0	0 / 0
să informeze președintele}					
'This is a serious national security case. They					
should have informed the president'					
should have informed the president					

(iv) unique in a restricted situation, but the					
function is relevant (possibly bridging)  (g) Văzând ce s-a întâmplat, {Putin i-a convocat pe generali / A convocat generalii}  'Seeing what happened, Putin summonned the generals'	24%	0%	76%	4%	0%
(h) Am stat până seara la căpătâiul bolnavului, la spital. {Am întrebat asistenta/Am întrebat-o pe asistentă} când îl mai pot vedea 'I stayed by the bedside of the sick man until evening, at the hospital. I asked the nurse when I could see him again'	21%	7%	72%	7%	0%
(j) Câte persoane poate duce nava asta? Nu ştiu, trebuie {să întrebăm căpitanul/ să-l întrebăm pe căpitan} (possibly familiar) 'How many people can this ship carry? I don't know, we must ask the captain.'	17%	7%	76%	11%	0%
(v) most likely <b>bridging (associative anaphora)</b>					
(i) Acolo e o maşină. Farurile sunt aprinse, {dar nu văd şoferul / dar nu-l văd pe şofer} 'There's a car over there. The headlights are on, but I can't see the driver' (clearly bridging)	10%	14%	76%	0%	0%
(vi) associative anaphora + covariation					
(k) De câte ori aude o lucrare nouă, {caută autorul pe Wikipedia / îl caută pe autor pe Wikipedia} 'Whenever he hears a new work, he looks up the author on Wikipedia'	7%	28%	65%	4%	7%

#### **Conclusions**

#### - On anaphoric vs familiar definites:

- Anaphoric definites do show a preference for DOM, but only some speakers require DOM in this case (we found 22% in the acceptability experiment; 36% of the translations that used a direct object did not use DOM)
- ➤ The preference for DOM with anaphoric definites is more or less equal to the preference for DOM with new definites unique in a restricted situation, based on shared knowledge (see (X)(ii): 63% DOM); this preference seems to extend to cases where the familiar referent is a possessor inside the descriptive part of the object see (VIII)e-g (85% DOM in the translation exp.).
- ➤ There are however unmodified familiar referents for which there is no preference for DOM see *fata* 'the girl', *copiii* 'the children' in (X)(i): general human-denoting terms; the translation experiment (see (VIII)a-d) suggests even an opposite preference (79% NO-DOM in the translation exp.)
- 'Attributive definites' the speaker does not have a specific referent in mind, but any entity satisfying the description will do:

see (V)c:

(32) We will invite the politician who will get the highest score in the polls.

Ro.: (Îl) vom invita (pe) politicianul care va obține cel mai bun rezultat în sondaje.

DOM: 12 (41%), NO-DOM 17 (59%)

Although there is a preference for NO, there are enough examples with DOM, showing that epistemic specificity is not a condition for DOM.

The other examples in table V are likely to receive an attributive interpretation (without requiring it); we see a preference for NO-DOM (mean: 69,5%).

Likewise, (X)g-h, j (where the function is relevant: 'Putin summoned the generals', 'I asked the nurse when could I see him (the patient)', 'We should ask the captain') and the bridging examples (see especially (X)i: 'The headlights are on, but I can't see the driver'): the preference for DOM disappears, but there is no preference for NO-DOM either: most informants reported equal acceptability for both variants (around 75%)

A possible attributive reading, with narrow scope under a modal, explains the lower preference for DOM in (X)f compared to (X)c.

- Attributive + covariation (clear scopal non-specificity): here, we see the highest preference for NO-DOM and also a few answers ruling out DOM, but the bulk of the answers goes for equal acceptability – see (X)k: 65% both.

### 7. DOM required with possessors that would require DOM in object position

Giurgea (2023): DOM required if the possessor is a personal pronoun or a proper name (see (33)a,c), but sometimes plural number seems to bring an improvement (see (33)d); moreover, indefinite pronouns, although they require DOM as objects, do not seem to impose DOM when used as possessors, see (33)b.

- (33) a. \*(Îi) vom întreba \*(pe) părinții {lui/ tăi/ lui Ion }. 3MP.ACC will.1PL ask DOM parents-the his/your/ GEN Ion
  - 'We'll ask his/your/Ion's parents.'
  - b. ?(Îi) vom întreba ?(pe) părinții fiecăruia.
    - (3MP.ACC) will.1P ask (DOM) parents-the every.MS.GEN
    - 'We'll ask every one's parents.'
  - Cf. \*(Îl) vom întreba \*(pe) {el / Ion / fiecare}.
    - 3MS.ACC will.1P ask DOM him Ion every-one
  - c. Au invitat și profesoara (?? lui Ion).
    - have.3P invited also teacher-the GEN Ion
  - d. Am întrebat și {??colegul/? colegii} lui.

have.1 asked also colleague-the/colleagues-the his

'I also asked his colleagues.'

# The translation experiment

Table XI: proper name possessors vs. other familiar possessors with common Ns:

		+DOM	-DOM
a	They called John's father, but he didn't answer.	23(100%)	0
b	They summoned the boy's parents to a meeting on Monday.	17 (85%)	3 (15%)
c	They also invited the president's wife.	25 (89%)	3 (11%)
d	She saw the manager of the company.	20 (80%)	5 (20%)
e	We finally found the director of the institute.	18 (64%)	10 (36%)

# The acceptability experiment

Table XII: personal pronoun possessors and proper name possessors

(i) NO-DOM, Possessor=personal pronoun or proper name	accept.	mean	
(a) Vom întreba părinții lui. 'We'll ask his parents'	56%	54%	
(b) Vom întreba și părinții lui. 'We'll ask his parents too'	67%		
(c) Am întrebat și colegii lui. 'I also asked his colleagues'	51%		
(d) Am invitat și colegul lui. 'I also invited his colleague'	40%		
(e) Am invitat și frații lui Gheorghe.	4.407		
'I also invited Gheorghe's brothers'	44%		
(f) Am informat părinții lui Ion despre ce s-a întâmplat.			
'I informed Ion's parents about what happened'	67%		
(ii) DOM, Possessor=personal pronoun or proper name		]	
(a') Îi vom întreba pe părinții lui. 'We'll ask his parents'	86%	90%	
(b') Îi vom întreba și pe părinții lui. We'll ask his parents too'	89%		
(c') I-am întrebat și pe colegii lui. 'I also asked his colleagues'	94%		
(d') L-am invitat și pe colegul lui. 'I also invited his colleague'	93%		
(e') I-am invitat și pe frații lui Gheorghe.			
'I also invited Gheorghe's brothers'	97%		
(f') I-am informat pe părinții lui Ion despre ce s-a întâmplat.			
'I informed Ion's parents about what happened'	89%		
(iii) NO-DOM, Possessor=familiar human def. with common N			
(g) Am informat părinții băiatului despre ce s-a întâmplat.			
'I informed the boy's parents about what happened'	86%	86%	
(iv) NO-DOM, unmodified definite relational N			
(h) Vom invita și părinții. 'We'll also invite the parents'	93%	93%	
(v) DOM, unmodified definite relational N			
Îi vom invita și pe părinți. 'We'll also invite the parents'	86%	86%	
Total NO-DOM in other semantically similar DPs: (iii)+(iv)		89,3%	

Conclusion: DOM in (XII)(i) is felt as marginal (54% acceptability), not quite ungrammatical.

#### Control examples:

- clitic doubling of a secondary accusative object: 5%
- number mismatch in an anaphoric pronoun: 9%,12%
- DOM without presup. of existence (ex. (26) above): 34%

vs. other instances of NO-DOM – see (iii)-(iv): around 90% = acceptable (note that for 2 fillers clearly unproblematic, we got around 75% acceptability)

However, it is telling that no DOM occurred in the translation test (see (XI)a vs. b-e).

=> maybe there is a new rule requiring DOM with pronoun and proper name possessors, and because examples without DOM can still be found in older texts or are produced by conservative speakers, the examples are not felt as ungrammatical

#### 8. General conclusions

DOM with human/animate DPs with overt common nouns ranges from compulsory to unacceptable, depending mostly on semantics, but not exclusively (see the possessors in §7).

- ➤ Definites lacking the presupposition of existence: DOM ruled out according to previous studies, but not always so in the experiments:
  - for definites in the scope of Neg or an intensional V: marginal according to the acceptability experiment; we tested 5 ex., 4 of which had a subjunctive relative (narrow scope under Neg or an intensional V); for such cases, maybe a type interpretation explains the acceptability of DOM, which is higher than for DOM-ed indefinites
  - definites containing non-specific indefinite possessors: the translation experiment produced quite a number of instances of DOM (42%); this may be due to accommodation of a presup. of existence
  - weak definites: very few ex. in the translation experiment (maybe due to misinterpretation of the context)
- Lack of epistemic specificity => no preference for DOM, but DOM is not ruled out (in the acceptability experiment, most results gave both variants as equally acceptable around 70%; in the translation experiment, NO-DOM predominates at 69,5%)
- > DOM is preferred for anaphoric and familiar definites, for some speakers it is even obligatory; but in the case of non-anaphoric familiar definites, there are situations for which DOM is not preferred: with general human-denoting nouns (fata 'the girl', copiii 'the children'), see also oaspeţii 'the guests' in the translation exp. ((VII).d)
- ➤ DOM is also preferred if the DP contains a familiar possessor
- ➤ If the possessor is a pronoun or proper name (i.e., DPs that require DOM in object position): DOM marginal in the acceptability experiment and not produced in the translation experiment (=> maybe there is a new rule that bans DOM in this environment)

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